

# Neotectonics and pastoralism: How they impact flood regimes in Madagascar's highlands

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# Neotectonics and pastoralism: how they impact flood regimes in Madagascar's

# Highlands

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#### 5 Abstract

6 Sustainably maintaining the densely populated upland plains of Madagascar as operationally 7 safe spaces for the food security of the nation and the urban growth of its capital city, 8 Antananarivo, hinges critically on avoiding crop and infrastructure destruction by their 9 through-flowing rivers. The flood regime, however, is also a function of two 'slow' variables 10 hitherto undocumented: tectonic subsidence regime, and floodplain sedimentation rate. From 11 a radiocarbon-dated chronostratigraphy and environmental history of the sediment sequences 12 in three of Madagascar's semi-enclosed upland basins (Antananarivo, Ambohibary, and 13 Alaotra), we quantify and compare how the precarious equilibrium between the two variables 14 entails differentials in accommodation space for sediment and floodwater. Results show that 15 all these plains have been wetlands for at least 40,000 years, but that the Antananarivo Basin 16 is the most vulnerable because the imbalance between sedimentation and subsidence is the 17 largest. Although the tectonic regime and the endemic forms of gully erosion that occur in the 18 catchments are beyond human control, we advocate that flood mitigation strategies should 19 focus on the natural grassland savanna, which makes up most of the contributing areas to 20 surface runoff in the watersheds. Pastoralists are persistently left out of rural development 21 programmes, yet the rangelands could benefit from the introduction of multi-purpose grasses 22 and legumes known to withstand high stocking rates on poor soils while combining the 23 benefits of nutritiousness, fire and drought resistance, with good runoff-arrest and topsoil-24 retainment abilities. Future-proofing Madagascar's upland grainbaskets and population 25 centres thus calls for joined-up action on the sediment cascade, focusing on soil and water

sequestration through integrated watershed management rather than on hard-defence engineering against overflowing rivers on the plains, which has been the costly but ineffectual approach since the 17th century.

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Key words: neotectonics; chronostratigraphy; flooding; urban sprawl; integrated watershed
policy; rangeland management

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# 33 1. Introduction

34 Strategies and institutions of governance and development interact with the conditions and 35 process regimes set by geology, hydrology, ecology, geomorphology and climate. In addition 36 to being products of the contingent biophysical processes that shape the Earth, landscapes are 37 thus also the product of power relations and other disparities. As a discipline, geography has 38 long embraced the unity of social science and physical landscape change in the service of 39 social and environmental transformation, but the recently branded concept of 'critical physical 40 geography' (Lave et al., 2014) also reminds us that there is an underlying political economy 41 of processes such as soil erosion, land-cover change, natural hazards, and disaster mitigation 42 strategies. Studies of the total environment thus gain from perspectives which combine expert 43 knowledge of biophysical science and technology with critical attention to political ecology, 44 i.e. to relations of social power in which some voices get silenced while others get amplified. 45 These many variables are often difficult to untwine, but for environmental policymaking 46 purposes it is essential that they be unpacked and diffracted in ways that help to convey a just 47 and lucid portraval of the total environment.

The creation of sustainable, safe, and socially just operating spaces for socio-ecological systems is commonly a goal intended to achieve wellbeing for all while simultaneously ensuring that the underpinning biophysical processes and environmental services are exploited within scientifically informed boundaries of sustainability (Dearing et al., 2014;

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Hossain et al., 2017). The concept of ecologically safe and socially just spaces has been addressed at global level in generic terms through the 'planetary boundaries' concept (e.g. Rockström et al., 2009), but less so at regional levels such as watersheds, wetlands, and other coherent natural units where the dissemination of policymaking based on an integrated understanding of complex interactions really matters to communities.

57 Floodplains, for example, which may contain permanent wetlands and temporary lakes, are 58 valued for their biological productivity, and for the ecosystem services and other natural 59 resources they provide, but they are also coveted for agriculture. In the midst of a 60 mountainous island-continent and one of the poorest countries in the world, the upland 61 intermontane basins of Madagascar's Highlands have historically been developed and 62 managed for the purpose either of rice monoculture or more diversified cropping systems 63 (Abé, 1984). The Highlands, or Hautes Terres, which in Madagascar refer to the elevated 64 backbone of weathered Precambrian basement, concentrate 45% of the nation's population of ~25 M (in 2016). This core zone of the island's interior (~130,000 km<sup>2</sup>, i.e. 22% of the island; 65 66 elevation range: 800-2800 m; mean annual rainfall: 1200-1500 mm) features population 67 density clusters of >  $250/km^2$  in and around the intermontane basins. Such has been the case 68 consistently for at least ~100 years (de Martonne, 1911; Gourou, 1967). Following a sharp 69 rise since the late 1990s, the population of the capital city's metropolitan area, Antananarivo, 70 reached 3 M in 2015 and is now recording an annual growth rate of 4.6% (Attoumani et al., 71 2019; Defrise, 2020). The Highlands are physically and culturally separate from the wide 72 band of sedimentary scarplands forming the west of the island (Fig. 1), which corresponds to 73 an area of dry forest, scrub and pseudo-steppe dominated by extensive pastoralism. It is, by comparison, very thinly populated ( $< 25/km^2$ , and often  $< 4/km^2$ ). 74

The interior basins of the Highlands are primarily natural wetlands (typically fens: Mietton
et al., 2018), and as such have been attractive for their level topography and their potential for

77 paddy cultivation. Wetlands, however, are transient features at geological time scales and 78 their life span can be substantially shortened by human action in the catchment — either by 79 changing the hydrological balance (e.g. excessive water abstraction by an irrigation scheme, 80 or excessive outlet release) or by sediment overfilling the basin's accommodation space (e.g. 81 as a result of excessive soil erosion in the surrounding catchments). At shorter time scales of 82 years to centuries, the persistence of sufficient accommodation space to avoid lake or wetland 83 extinction depends on a fine balance depending on how processes governing the lithosphere, 84 biosphere, atmosphere and hydrosphere intersect with the anthroposphere (Lisenby et al., 85 2019). Wetland persistence is typically a function of geometrical constraints (initial relief, 86 length of the river, hypsometry of the catchment), lithological parameters (rock erodibility), 87 climate (precipitation and evaporation rates), and — often neglected or harder to quantify — 88 the relatively slow (and thus imperceptible over years and centuries) rate of tectonic 89 subsidence and crustal deformation (i.e. uplift rate, duration, and its spatial distribution; see 90 Graphical abstract). Modelling of tectonics-controlled inland lakes shows that once uplift 91 declines and/or lake or wetland capture is achieved by headward drainage recession, the 92 upland wetlands risk getting reintegrated into the drainage network and drying up (Garcia-93 Castellanos, 2006).

94 Lisenby et al. (2019) have emphasized that existing wetland characterisation tools tend to 95 neglect geomorphology, so that managers or development agencies who rely on them may 96 build up an incomplete set of expectations regarding the characteristic processes, forms and 97 timescales of wetland and floodplains dynamics. This may be particularly problematic where 98 this dynamism occurs on management-relevant timescales of years to decades because 99 incorporation of geomorphological perspectives into management planning and practice can 100 improve understanding of the relative importance of natural and anthropogenic drivers of 101 wetland change, and thus help to decide when, and when not, to intervene.

102 Among the rice-growing areas of Madagascar, the central Highlands are the region where 103 the rainfall conditions are seasonally best adapted to rice growth despite constraints relating to 104 low winter temperatures (Le Bourdiec, 1974). Annual rainfall totals range between 1000 and 105 1500 mm and occur during the warmer season (November to April). This is also, however, the 106 most mountainous and topographically fragmented part of the island, so that the optimal areas 107 for high-yield paddy cultivation are restricted the flat-floored intermontane basins — often of 108 limited size (Bied-Charreton et al., 1981). Importantly, most of these upland plains result 109 from impeded drainage controlled by some form of bedrock constriction at the exit point, 110 either because of tectonic faulting, of a lava dam, or a combination of both - which to 111 varying degrees has promoted water ponding and sediment aggradation. The 112 geomorphological behaviour of these outlets is what promotes the alluvial aggradation and 113 poor drainage potentially advantageous to human settlement and paddy cultivation, but only 114 on condition that the intensity of these processes can be maintained within a certain critical 115 bandwidth of variability. The wetland base levels and hydrological regimes are thus subtly 116 controlled by tectonic faults, by basement rocks with differing sensitivities to differential 117 erosion, and by the varying intensities of topographic incision by rivers (Raunet, 1980).

118 Although the tectonic and fluvial regimes in Madagascar are difficult to measure or 119 monitor directly, a chronostratigraphy of the sediment retained in the wetland basin should 120 provide a proxy of how long this regime has been sustained, and at what rate. The 121 waterlogged wetlands contain clay-rich sediment and weakly decomposed (and thus 122 radiocarbon-datable) organic matter such as peat. Insights obtained from sediment coring and 123 trenching can thus provide long-term baseline information for underpinning an integrated, 124 basin-scale management strategy of the total environment that avoids short-sighted, sectorial 125 measures such as unplanned urbanisation (Dabat et al., 2004; Aubry et al., 2012; Defrise, 2020), short-sighted hydraulic engineering, and detrimental land-use policies. 126

127 By comparing different Highland basins with somewhat different characteristics, in this 128 study mainly focused on the Antananarivo Plain we show how tectonic, hydrological, 129 sedimentological and palaeoenvironmental variables have played out over the last several 130 thousand years prior to human settlement, and how engineering and human agency in the last 131 ~100 years have been interfering — and could interfere critically in the future — with the 132 baseline trends. We thus gauge and analyse the boundaries to sustainability of these key rice-133 growing basins (Fig. 1) which, as the new evidence suggests, hinge on a finely balanced long-134 term equilibrium between tectonic regime, sedimentation rate, and cumulatively evolved 135 socio-ecological practices which control soil erosion in the wider tributary catchments and 136 their nested sub-catchments.

137 This kind of multidisciplinary and integrated approach to watershed management, articulating 138 the biophysical and the social sciences while including a long-term historical dimension, 139 reframes the technocratic approach to river flood-hazard modelling which, by focusing 140 unilaterally on simplified channel hydraulics and on the short-term prospect of constructing 141 hard defences at locations where the flooding occurs (often calibrated on limited hydrological 142 time series datasets), inflates the playbook that large engineering corporations have been 143 writing for the last ~200 years while missing two key points: these sectorial risk-reduction 144 planning approaches (i) expediently ignore the important dimension of political ecology, and 145 (ii) historically have often failed to meet the targets of their own forecasts (both aspects 146 comprehensively critiqued in McCully, 2001).

As an alternative perspective, the holistic approach explored in this study paves the way
towards a form of systemic thinking among environmental managers that also allows room
for participatory methods rather than the conventional, top–down technocratic solutions.
Holistic thinking along these lines has gained some traction through the relatively recent
'ecosystem services' paradigm, with perhaps the most famous operationally successful

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152 example being the conservation of urban water quality in the Catskills/Delaware watershed 153 for the benefit of the city of New York based on a 'payment for ecosystem services' (PES) 154 scheme. The scheme grew out of public consultation and was guided by the motto "we all live 155 downstream", including stakeholders who actually reside and operate in the catchment 156 headwaters (see "How to put a watershed to work", in Daily and Ellison, 2002). 157 Such 'success stories', however, still today remain rare almost anywhere, and in an 158 economically poor country like Madagascar these approaches are in their infancy at least 159 partly because agencies involved in environment and land issues tend to promote sectorial 160 rather than integrated solutions. This study thus puts Madagascar and its state capital on the 161 global map of research into integrated watershed management — in the present case showing 162 how curiosity-driven research on tectonics and past environments ties in with policy-driven 163 research. Furthermore, whereas conventional flood-mitigation policies in most biomes 164 typically advocate planting trees as a natural means of promoting water infiltration over 165 runoff (this can range from state-controlled, single-species protection forest, to more 166 participatory forms of agroforestry: e.g. Aryal et al., 2019), we emphasise instead the 167 importance of bespoke, place-based solutions through a holistic consideration of 168 geomorphological, hydrological, ecological, but also cultural components of Madagascar's 169 environment. In the case of Madagascar's central Highlands, where the natural vegetation is 170 overwhelmingly not forest but grassland savanna or pseudo-steppe (see Graphical abstract), 171 afforestation is actually neither a natural solution nor a culturally accepted one. Instead, we consider the importance of pastoralism, forage, and fire in these landscapes where the 172 173 economic, cultural, and environmental footprint of pastoralists and their livestock is 174 substantial, but where these socially marginal stakeholders are recurringly ignored by rural 175 development programmes, which focus on farming and forestry.

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176 The rationale and successive steps of the methodology are summarised by a flow diagram177 presented in Figure 2.

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### 179 2. The Antananarivo Plain: its key features

180 **2.1.** *Hydrology* 

The Antananarivo plain (~162 km<sup>2</sup>, 1247–1255 m a.s.l.) lies in the upper Ikopa River basin 181 (catchment area above the Farahantsana channel knickpoint: 4466 km<sup>2</sup>; Fig. 3). The 182 183 intermontane basin operates as the local base level for a number of left- (Sisaony, 184 Andromba/Katsoaka) and right-bank tributaries (Mamba, Maniandro), all of which converge 185 on the plain. Stream convergence is frustrated by the fact that the Ikopa River has been 186 continuously or partially constricted by artificial levees over a distance of 45 km, as far as 187 Bevomanga. The Sisaony River in the early 20th century used to join the Ikopa at Anosizato 188 (Fig. 2; Isnard, 1955). The Ikopa channel gradient is very gentle (~0.00025), and decreases to 189 0.00013 just before the junction with the Andromba River. From the Bevomanga 'narrows' to 190 the Farahantsana knickpoint (Fig. 4), the Ikopa flows in a bedrock channel. The river then 191 plunges 50 m down a gorge displaying a succession of rapids and waterfalls, and eventually 192 joins the Betsiboka and the Mozambique Channel.

193 Only part of the interior wetland has been converted to farmland. Reclamation of what was 194 initially a periodically flooded mosaic of scrub and marshland began in the 17th century under 195 the authority of the Merina monarchs (at first under King Ralombo, 1575–1610; but mostly 196 under King Andrianampoinimerina's reign: 1785-1810; see Abé, 1984), ostensibly at a time 197 of enhanced rainfall (1500–1850 CE) compared to the preceding 500 years — but nonetheless 198 containing a nested sequence of multi-decadal rainfall fluctuations documented within that 199 time span (Scroxton et al., 2017). These early efforts, aided by copious availability of slave 200 labour (Raison, 1986; Larson, 2000; Campbell, 2005), focused on the right bank of the

201 Ikopa River, where the Ankadimbahoaka levee, or 'embankment of the people', was 202 constructed. The plain, progressively criss-crossed by 200 km of canals (often multipurpose: 203 for drainage, irrigation and navigation), was given the name Betsimitatatra, meaning 'that 204 which no longer needs reclamation' (for a detailed historical geography, see Isnard, 1955; 205 Raison, 1972; Douessin, 1974). The Farahantsana bedrock sill partially closes off the basin 206 and causes in-channel bedload deposition upstream. As a result, the channel bed has risen 207 between its levees to elevations greater than the surrounding plain, thus causing frequent 208 levee breaching, crop inundation, and flooding of the capital city's low-lying sprawl. Raising 209 the levees incrementally has simply perpetuated the flood hazard issue in a self-reinforcing 210 feedback loop.

With new technology — particularly explosives in addition to manpower — the colonial
authorities introduced two additional measures.

213 (i) In order to reduce the perennial risk of flooding, the Ikopa underwent channel deepening 214 aimed at hastening floodwater evacuation, limiting water pressure against the levees, and 215 with benefits also expected for the vulnerable residential areas of Antananarivo. The first 216 blasts along this 5-km-long reach were carried out around Farahantsana in 1914, then in 217 1938 and 1940 — deepening the channel by 1.6 to 3 m over a width of 80 m, thereby also 218 steepening the channel gradient (Ciolina, 1946; Isnard, 1955). An estimated 430,000 m<sup>3</sup> of 219 rock were removed, among which 170,000 m<sup>3</sup> of bedrock. A wave of headward erosion 220 soon ensued, clearing the channel of its sediment, exposing the bedrock in new reaches, 221 and thus effectively extending the knickzone upstream to Bevomanga. An accompanying 222 measure was to build a needle dam further upstream (Tanjombato Dam) as a means of raising the hydraulic head by  $\sim 1.4$  m to irrigate some of the higher land traditionally 223 224 devoted to second-season cropping.

(ii) The second major undertaking was the construction of the Mantasoa (1938) and
Tsiazompaniry (1956) dams (125 and 260 M m<sup>3</sup> design capacities, respectively), designed
for hydroelectricity and both flooding a network of valleys in the remote headwaters of
the Ikopa catchment near the island's continental drainage divide (Fig. 3). The expectation
was that these reservoirs would also retain excess water during the rainy season and
reduce the flood hazard, as well as ensure low-flow augmentation (by 5–12 m<sup>3</sup>/s) for
securing dry-season irrigation.

232 The frequent flooding today in Antananarivo strongly suggests that the engineering works 233 of the past century were of limited avail. Catastrophic flood damages reported for Jan.-Feb. 234 1917 (Chaperon et al., 1993) recurred in Feb. 1932 (peak flow measured at Bevomanga 235 gauging station: 600 m<sup>3</sup>/s), March 1941, Jan. 1954, and March 1959. Benchmark records from 236 1948–49 to 1976–77 at Bevomanga document annual peak discharges consistently in excess 237 of 250 m<sup>3</sup>/s on the Ikopa River, with a record maximum of 642 m<sup>3</sup>/s measured on March 31st, 1959, and an estimated 1750 m<sup>3</sup>/s at Farahantsana (Anonymous, 1965). As with most 238 239 regulated rivers, artificial levees are merely a relative solution to flood-hazard mitigation 240 because they force in-channel sediment load deposition, thus raising the channel bed and 241 waterline. This promotes levee breaching and channel overspill. Accidental overspills present 242 the advantage of reducing instantaneous maximum discharge at locations situated 243 downstream, and this is confirmed for the Ikopa River: it records greater peak discharge 244 maxima where it enters the plain at Antelomita (upstream of Antananarivo: e.g. 710 m<sup>3</sup>/s on 245 March 31st 1959) than near its exit at Bevomanga. Neither of the two headwater reservoirs 246 have thus proved effective at curbing flood peaks on the Ikopa.

The hydrological hazard is exacerbated by cyclones making landfall on central Madagascar, particularly when these occur towards the end of the rainy season on swollen water tables and saturated lowland soils. As a result of the flooding, rice yields in the

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250 Antananarivo plain can fluctuate by up to 50% from one year to the next (Dabat et al., 2004), 251 thus increasing dependency on imports. Flood hazards are also exacerbated by Antananarivo's 252 urban sprawl across the surrounding flood-susceptible paddy fields (Aubry et al., 2012; 253 Ciampalini et al., 2019). During the colonial period, 22 ha of marshland were reclaimed and 254 landfilled to develop the new district of Analakely and open the (now renamed) Avenue de 255 l'Indépendance, inaugurated in 1935. Both now lie at the heart of the city (Fig. 5). During that 256 same period, and despite being 'protected' by river levees, expanding slums on the west side 257 (mostly established on shoddily and illegally reclaimed wet-rice cropland) soon became 258 insalubrious and exposed to flooding because of a backwater effect caused by excessive in-259 channel sediment aggradation around Bevomanga. The entailing rise in water levels upstream 260 of Bevomanga also exacerbates lateral pressure on the Ikopa levees (similar effects occur 261 simultaneously on the Sisaony and Andromba rivers), and thus the frequency of breaching 262 and floodplain spills occur even before the peak flood wave on the Ikopa enters the plain. 263 Today, floodwater evacuation is impeded by the unfettered increase in paved surfaces and 264 chronic obstruction of the low-gradient stormwater drainage systems by urban detritus and by 265 the insufficient hydraulic head between the urban drainage and the Ikopa River base level.

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#### 267 2.2. Geological setting

268 2.2.1. Lithology

The Antananarivo Basin lies at a structural node in the Precambrian fabric of the basement known as the Antananarivo virgation zone (Nédélec et al., 1994), a twist in the Panafrican orogen where the strike of the stratoid granites changes from N–S to E–W.

Most of the Ikopa catchment area consists of migmatites and gneiss, with a few outcrops of plutonic granite (Carion Massif, Behenjy Massif), stratoid granite (Nédélec et al., 2000), and minor charnockite (Fig. 6). The highest summits of Antananarivo's urban topography coincide with ridges reinforced by quartzite beds, outcrops of which also strike across the Farahantsana bedrock sill. The basement south of the Antananarivo Basin is covered by the volcanic rocks of the large Ankaratra volcano and various satellite intrusions. Ankaratrite flows (nepheline basanite) 20 km to the SW of Antananarivo (e.g. Delubac et al., 1963) were generated by the small Vontovorona volcano (Fig. 6). The sediment fill of the basin itself remained relatively undocumented until recent excavations in the context of urban construction projects. The material is largely of an alluvial nature and described in this study.

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#### 283 2.2.2. Evidence of neotectonics

284 Despite the great antiquity of the basement rocks and the deceptive assumption of stability 285 often associated with Precambrian shield regions, the topography of central Madagascar is 286 extremely youthful. The main cause of base-level change was late Cenozoic (Neogene) crustal 287 uplift, mostly as a result of sub-lithospheric mantle convection beneath Madagascar causing 288 crustal buoyancy, regional-scale updoming, and intraplate volcanism. Supporting evidence for 289 this has been provided by several lines of research. In addition to the well-established 290 succession of erosion surfaces in the Highlands (Bourgeat and Petit, 1969), which suggests 291 base levels falling in successive steps in response to regional uplift, fission-track data likewise 292 suggest that rapid regional uplift and denudation commenced within the last 20 Ma 293 (Stephenson, 2019). Delaunay (2018) and Roberts et al. (2012) used the distribution of 294 erosion surfaces and inverse modelling of river longitudinal profiles, respectively, to also 295 conclude that Madagascar had undergone wholesale regional uplift during the last 15 Ma. A 296 remarkable feature of most rivers in Madagascar is indeed that none display typical concave-297 up equilibrium profiles. Instead, they exhibit distinct convex profiles interrupted by a large 298 number of knickzones and waterfalls typical of disequilibrium conditions driven by tectonic 299 activity (Fig. 4).

300 Additionally, the present-day elevation of the crust is anomalously high despite its lower-301 than-normal thickness evidenced by gravity and seismic data (Rechenmann, 1981; Fourno, 302 1990; Rakotondraompiana, 1992). Independent tomographic and petrological evidence 303 confirms that the epeirogenic uplift of Madagascar can be accounted for by the existence of a 304 layer of anomalously hot asthenospheric mantle directly beneath a thin lithospheric plate 305 (Rindraharisaona et al., 2013; Andriampenomanana et al., 2017; Stephenson et al., 2019). The 306 upwelling asthenosphere provides the dynamic support needed for maintaining the elevated 307 topography.

308 Consistent with these broad-scale geological findings, seismicity is also an important 309 feature of central Madagascar, where 97% of the island's thousands of earthquakes occur 310 annually. No fewer than 3346 earthquakes with a magnitude greater than 3.0 were recorded 311 between 1975 and 2007, mostly associated with extensional faulting (Rindraharisaona and 312 Rambolamanana, 2008). The most active area lies beneath the late Neogene to modern 313 Ankaratra and Itasy volcanic edifices (Hariniony, 2018), barely 50 km SSW of Antananarivo 314 (Figs. 1, 3), where 658 events were recorded just between 2003 and 2016 and registered peak 315 magnitudes of  $M_L$  6.1 (typically at shallow crustal depths of 15–30 km). Although some of 316 these could be related to subsurface magmatic activity, a much larger, nonvolcanic seismic 317 sub-region immediately to the north (so-called 'Famoizankova seismic region'), effectively 318 adjacent to, and west of, the Antananarivo study area, recorded 104 seismic events during that 319 same period, with an even higher peak event registering at  $M_L$  6.4 (Hariniony, 2018). On 320 nonvolcanic rocks of the Highlands, surface expression of the recent and ongoing crustal 321 deformation has been inferred from gully erosion ('lavaka', from a word meaning 'hole': 322 spectacular gullies on hillsides, see Graphical abstract), showing that high-density lavaka 323 'hotspots' coincided spatially with foci of more intense seismicity (Helisoa, 1983; Cox et al.,

324 2010). The intensity of hillslope dynamics could thus be a response to, and thus used as a325 proxy for, the magnitude of tectonic deformation.

326 Unlike the Alaotra Basin (Fig. 1), which geologically is an active tectonic graben (Mietton 327 et al., 2018), the Ikopa catchment and the Antananarivo Basin do not correspond to one of 328 these lavaka hotspots. The digitations of the Antananarivo Basin (Figs. 3, 6) primarily suggest 329 its origin as an etch basin, i.e. a lowland originally generated by differential weathering in 330 petrographically heterogeneous or diversely fractured basement outcrops (e.g. Lageat and 331 Gunnell, 2001) rather than by tectonics. The low hills and interfluves rising from the plain 332 correspond to the late Neogene erosion surface 'S3'. As elsewhere in the Highlands, (i) its 333 elevation (here ~1300 m) is controlled by a local, non-marine base level set by the bedrock 334 sill on the trunk river; and (ii) it has developed across lithologies highly susceptible to decay 335 under intense tropical weathering conditions, such as schist, gneiss and migmatite (Bourgeat 336 and Petit, 1969; Hoeblich and Hoeblich, 1983). Accordingly, the interfluves are blanketed by 337 ~30-m-deep Ferralsols.

338 Despite not displaying the first-order morphological features of a faulted graben, the 339 Antananarivo Basin nonetheless displays features suggesting neotectonic overprints. In 340 addition to a major E–W Precambrian shear zone cutting across the basin (e.g. Nédélec et al., 341 2016), these include:

342 (i) a NE–SW fault which cross-cuts the Anjafy–Angavo anticline from north of the
343 Ankay to south of Antananarivo. This fault probably continues beneath the Ankaratra
344 volcanic cover and eventually intersects the Vavavato and Ibity faults farther west
345 (Fig. 1);

346 (ii) a NW-SE lineament guiding the drainage net, one of several in the wider region and
347 parallel to the major, elongated seismicity hotspot identified by Cox et al. (2010)
348 farther to the west, consistent with NE-SW fault-solution mechanisms inferred for the

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349 Itasy, Ankaratra, and Famoizankova seismic subregions (Barimalala and
350 Rambolamanana, 2008);

- (iii) a series of N20° to N30°E structures north of the Antananarivo Basin (around the
  small town of Mahitsy), reported on the basis of microtectonic observations in a
  quarry (Arthaud et al., 1990; Dussarrat, 1994) and also reported as locally offsetting
  weathering profiles. This strike direction also has an important influence on the local
  drainage network, and may bear some connection with a long E–W lineament mapped
  by Rakotondraompiana (2001) and passing along the north edge of the Antananarivo
  Basin.
- 358 (iv) Brenon (1952) speculated that the Ikopa plain had formed because of a tectonic block
  359 rising across its path, and Bourgeat (1968) interpreted it as a downthrown block east
  360 of the tentatively named N–S 'Imerintsiatosika–Antambola line' (Fig. 3) perhaps a
  361 tectonic lineament, despite the fact that the geology of this region west of the capital
  362 city has never been mapped. Fourno (1990) likewise accredits the hypothesis of a
  363 tectonic origin for the Antananarivo Basin.

364 Dussarrat (1994) tentatively estimated that the E–W faults were younger than the N–S set 365 because microtectonic striations generated by the N-S extension were cross-cut by striations 366 generated by the E-W extension. The E-W fault movements were most likely late Pleistocene 367 (i) because small vertical offsets were reported from the 25–10 ka alluvial deposits (Bourgeat, 368 1968; Bourgeat and Ratsimbazafy, 1975), and (ii) because those faults did not offset peat 369 layers of early Holocene age (9–2.6 ka) — the latter only estimated, however, by intuitive 370 correlation of the peat with an independently established period of climatic stability (e.g. 371 Burney, 1987) rather than by direct dating.

In summary, tectonic deformation in the Highlands of Madagascar is geologically recent,
and seismicity is low- to medium-intensity and ongoing. Crucially, the link between

15

374 sedimentation, hydrological regulation, land use, and tectonics becomes critical upstream of375 where the Ikopa River exits the basin.

376

# **377 3. Methods**

378 The sedimentary fill sequence of the Antananarivo Basin lacks natural sections, and 379 quarries in weathered profiles do not reach the bedrock. As a result, the stratigraphy beneath 380 the alluvial plain had until now never been investigated. The need to survey the subsurface 381 has nonetheless grown from increasing demand for high-rise urban construction and as part of 382 hydrogeological exploration for supplying the city with water. In clay-rich sequences, 383 electrical surveys are less efficient than electromagnetic surveys for detecting depths to the 384 bedrock floor because of backscatter effects. Mechanical trenches and boreholes remain the 385 best source of information. Recent drilling operations have cored mostly through clay and 386 sand-rich layers (rarely loam), but significantly also through a number of peat levels.

387 Here we collected, compiled and correlated unpublished data from recent civil engineering 388 studies, and from reports by geologists: 3 construction-drill auger cores < 10 m deep (BRL 389 Ingéniérie, 2018), 1 test pit reported by Bourgeat and Ratsimbazafy (1975), and 14 boreholes 390 up to 30 m deep (sources: Colas; Laboratoire National des Travaux Publics et du Bâtiment; 391 Laplaine, 1957; Rakoto et al., 2017). The goals were to estimate the thickness of the sediment 392 fill in the Antananarivo Basin, characterise its stratigraphy, constrain its depositional age, and 393 infer time-averaged sedimentation rates upstream of the Farahantsana bedrock sill. The 394 accuracy of results and interpretations hinged on precise altitudinal correlation of marker 395 levels between the different boreholes, but the engineering reports and borehole logs each 396 provided a highly accurate datum allowing precise spatial interpolation between the data 397 points. Interpolation accuracy was further controlled and calibrated from 1:50,000 398 topographic maps, Google Earth spot heights, and handheld GPS measurements in the field,

all in good agreement to within 5%. Inspection of sample textures from different core depths
also allowed possible confusion between alluvium and in situ weathered rock, and between
topsoil and surface landfill, to be lifted or to benefit from altitude corrections.

402 Peat levels were radiocarbon-dated at two sites, F5 and F6, where excavations during the 403 course of this study could be directly monitored. Radiocarbon age results by Bourgeat and 404 Ratsimbazafy (1975) were also included. The four dated peat samples of excavation site F5 405 (at depths of -1.80 m, -3 m, -11.60 m, -13.50 m) were also subjected to pollen analysis in 406 order to characterise the vegetation prevalent at the time of peat deposition, infer the 407 palaeoenvironmental conditions, and compare the data with published evidence from other 408 wetlands in Madagascar's Highlands. Pollen samples of 4 g each were collected, prepared 409 following standard chemical treatment (Moore et al., 1991), and each involved a minimum of 410 300 grain counts. Using a Zeiss microscope at ×400 magnification, pollen taxa were identified 411 from photographic atlases for Madagascar and tropical Africa (Straka, 1991; Schüler and 412 Hemp, 2016; Rasoloarijao et al., 2019).

413 Mineral characterisation in samples was used to determine sediment provenance, and 414 thus potentially tephra and glass shards from volcanic sources. Mineral extraction was 415 obtained by standard magnetic and density separation methods (Blockley et al., 2005).

In order to test the hypothesis of recent or ongoing basin subsidence, and of possible uplift occurring in the vicinity of the basin's bedrock outlet in the west, a continuous series of seismicity records was acquired from the Institut et Observatoire de Géophysique Appliquée (IOGA) at Antananarivo University. Several thousand seismic events were recorded between July 1988 and October 2017 with their date, time, epicentre latitude and longitude, Richter magnitude, and focal depth. The data series was processed to filter out tremors caused by blasting in the multiple quarries situated within a radius of 10 km around Antananarivo. 423 Events exceeding  $M_{\rm L}$  4 were retained as being the most likely to bear upon the long-term 424 morphotectonic behaviour of the Antananarivo Basin.

425 Lastly, for comparative purposes on a wider scale across the Hautes Terres, we evaluated 426 lavaka density distributions throughout the Ikopa catchment based on aerial photography 427 (Helisoa, 1983; BDPA et al., 1994) and Google Earth updates in order to (i) compare them 428 with documented lavaka densities in catchments around the Alaotra Basin (Mietton et al., 429 2006), and (ii) assess differences in sediment load entering the two respective tectonic basins. 430 We also cored and radiocarbon-dated sediment fill on the floor of the Ambohibary Basin (Fig. 431 1; also sometimes referred to as the Sambaina Basin), another semi-enclosed basin of the 432 Highlands (Fig. 2), and compiled previous radiocarbon ages similarly obtained from the 433 Alaotra Basin (Mietton et al., 2018). The preliminary regional dataset thus obtained was 434 conducive to (i) a calculation of long-term sedimentation rates; (ii) the inference of long-term 435 tectonic subsidence rates; and (iii) the possibility to estimate on that basis the opportunities 436 for lake formation in the past and the relative vulnerability of each basin to frequent flooding 437 in the present.

438

#### 439 **4. Results**

### 440 4.1. Basin-scale stratigraphy of the Antananarivo plains

Whereas the three auger cores (noted S1, S2, S3, Figs. 6, 7) documented the ubiquity of a shallow peat level at constant depth but provided limited information about deeper levels, the 14 borehole and trench data provided good constraints on the stratigraphy of the sedimentary sequence. The list is as follows: Ivaty-Agrival (F1), Novotel-Ankorondrano (F2), Ibis-Ankorondrano (F3), Tour Orange (F4) Andrahara (F5), Antanimena (F6), Behoririka (F7), Madarail-Soarano (F8), Mahamasina: F9; Anosizato: F10; Tsimbazaza: F11; Bi-Pass (F12), Filatex (F13) and Andoharanofotsy (F14), offset further to the south (Fig. 6). Data obtained by Noizet (1968 a, b) on depths to the bedrock and bedrock lithology along the Ikopa River
(e.g. evidence of quartzite outcrops on mid-channel bars) were also mapped, correlated, and
triangulated against a series of geodetic markers (Fig. 8).

451 The altitudinal correlations between cores are remarkably consistent for all levels, 452 including the peat layers (Fig. 7). Overall, the sediment fill is fine-textured and its maximum 453 known thickness does not exceed  $\sim 20$  m. Peat was found to occur everywhere at one, and at 454 some sites at two, stratigraphic levels (Fig. 7): the shallower level is uniformly widespread 455 across the plain and close to the surface at an altitude of 1248–1250 m; the other typically lies 456 at depths of 10 to 15 m, and thus at altitudes of 1233 m (F3, F4) and 1241 m (F13). This 457 lower peat level was struck by 5 boreholes on the inner plain and along the inner Ikopa 458 floodplain.

459 Age data for the upper peat layer are summarised in Table 1. The radiocarbon ages were 460 obtained from a single level at Antanimena (F6), and from four different depths at Andrahara 461 (F5), where an organic black clay layer occurs between -11 and -13 m. The near-surface peat 462 deposits are consistently early Holocene (11,270-9100 yr BP), whereas the deeper black clays 463 are late Pleistocene (37,000 yr BP). The absence of deep-seated peat in cores other than F3, 464 F4, F5, F12 and F13 is explained either by the boreholes being insufficiently deep, or because 465 of having struck structural highs of bedrock on the highly irregular, deep weathering mantle 466 of the basin floor (F1, F6, F7, F10).

467

#### 468 4.2. Tectonic constraints on the Antananarivo Basin

The cross-section through the Antananarivo Basin (Fig. 9) shows that the sediment fill sequence lies entirely or partly at an elevation below the altitude of the Farahantsana (1248 m) and Bevomanga (1250–1251 m) bedrock sills. The fact that the peat beds have been buried by alluvium (the 'backfill' mentioned in Figure 7 is typically alluvium disturbed by heavy 473 machinery) also testifies to tectonic subsidence. Post-peat sedimentation rates were 0.2-0.3 474 mm/yr for the near-surface horizon, and 0.3–0.4 mm/yr for the deep peat level at Andrahara, 475 suggesting by inference that subsidence rates have been relatively steady since the late 476 Pleistocene (Table 1). Such geological background rates are plausible for an intraplate setting and, based on recent seismic records, tectonic deformation is ongoing. On an axis from the 477 478 Ankaratra northward via the Farahantsana–Bevomanga upper knickzone area (Fig. 10), and 479 within a band of just 20 km to the west of Antananarivo, 27 earthquakes ( $M_L > 4$ ) were 480 recorded in the last 20 years (1999–2019), with a  $M_L$  5.08 event on Oct. 20, 2015 (Lat. 481 18°44.28 S, Long. 47°31.98 E). Widening the band to 70 km towards the west reveals that the 482 region between Antananarivo and Lake Itasy recorded 206  $M_L \ge 4$  earthquakes between 1988 483 and 2019. Among these, five exceeded  $M_L$  5.0 (maximum of  $M_L$  5.32 on Aug. 23, 1995; 484 18°.43 S, 46°.24 E; focal depth: 27 km). Although fault-slip and crustal deformation rates are 485 undocumented, a critical seismic alignment striking N-S out of the Ankaratra volcanic edifice 486 thus appears to be a region of active seismicity.

The fracture net and subsidence conspire to control the drainage pattern (Figs. 3, 6). The rivers strike N–S but undergo 90° bends in response to westward tectonic tilting towards the Antananarivo Basin (areas marked 3 and 4 in Fig. 6). At locations numbered 1 to 5 in Fig. 6, the loci of these changes in direction support the notion that E–W faults also control drainage and basin morphology, particularly where they cut across the N–S, quartzite-reinforced basement ridges around Farahantsana and Bevomanga. The fracture pattern also has an influence on right-bank Ikopa tributaries (Mamba, Mambakely, Andranoroasosona; Fig. 6).

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#### 495 4.3. Palaeoenvironmental constraints in the Antananarivo Basin

496 None among the four Andrahara samples contained tephra, but the sand to silt fraction was497 rich in all of the primary minerals typical of the gneiss and migmatite bedrock, namely quartz,

498 feldspar and biotite. Numerous smooth oxeas (needle-shaped sponge spicules, with sharp 499 tips at both ends) and belonging to the siliceous skeleton of freshwater sponges (order: Spongillida) were also recovered. Given the additional morphotraits required for identifying 500 501 encrusting freshwater sponge fauna to genus and species level, these fossil remains are 502 insufficient to be used with confidence as palaeoecological indicators (Pronzato et al., 503 2017). Nonetheless, specimens of living freshwater sponge species, only recently 504 discovered for the first time in Madagascar (Manconi et al., 2015, 2019), were collected 505 from "silty shallow water along the seasonally flooded riverside" of large rivers similar to 506 the Ikopa, thus providing a plausible clue to late Quaternary floodplain environments in the 507 Antananarivo Basin.

508 The four samples from the Andrahara (F5) peat deposits were also analysed for their pollen 509 inventories. Pollen preservation and content were generally lower in the two deeper peat 510 samples, but overall pollen analyses revealed substantial differences in terms of pollen 511 assemblages in the four studied peat samples. The pollen spectra at -13.50 m contained 512 Ericaceae (i.e. heather family; 62%), Asteraceae (i.e. daisy family of flowering plants, 14%), 513 and Poaceae (i.e., grasses; 12%). Among the other identified taxa, only Cyperaceae (i.e., 514 sedges), Podocarpus, Artemisia and Protea exceeded proportions of 1%. In the sample at -515 11.60 m, Ericaceae were still dominant (> 75%). Excepting Poaceae (18%), all the other taxa 516 recorded less than 5%. In the sample at -3 m, Ericaceae (53%) continued to prevail but 517 proportions shifted in favour of Cyperaceae (23%) and Poaceae (19%). Only Podocarpus and 518 Asteraceae exceeded 1%. In the shallowest sample at -1.80 m, the pollen spectra were 519 dominated by Cyperaceae (51%) and Poaceae (22%), with a clear eclipse of Ericaceae (down 520 to 10%). In this near-surface peat layer, several taxa such as Podocarpus, Macaranga, 521 Asteraceae, Urticaceae, Rubiaceae, etc., exceeded proportions of 1%.

The pollen assemblages indicate different paleoenvironmental conditions. With high 522 523 proportions of Ericaceae (60-80%) associated with high values for Asteraceae, the 524 environmental signature for the deeper organic levels is one of shrubby heathland. By 525 reference to the distribution of modern pollen spectra in central Madagascar (Burney, 1988), 526 such proportions of Ericaceae only appeared during the Last Glacial Maximum at elevations 527 800–1000 m lower than at present (Straka, 1996). The recorded colder period in the 528 Antananarivo Basin (36–37 ka BP, i.e. one of the cooler stades of Marine Isotope Stage 3, or 529 MIS 3) was thus also drier than today, as likewise confirmed by pollen spectra from the crater 530 lake at Tritrivakely (e.g. Gasse and van Campo, 2001). The shallower samples (-3 m and -531 1.80 m) document a relative diversification of taxa involving a replacement of heather by 532 sedges and grasses. Whereas sedge supports the notion of a marsh or fen, a proportion of the 533 grasses and other flowering taxa signal seasonally wet meadows in a mosaic also involving 534 woody taxa. The Holocene signature thus illustrates warmer and wetter conditions. Such data 535 are likewise consistent with results from Lake Tritrivakely. The paleoenvironmental 536 conditions of the two generations of peat is strengthened by the fact that both intervals match 537 the early Holocene occurrences dated between 12,000 and 9000 yr BP in the Antsirabe 538 (Burney, 1987, 1996; Burney et al., 2004; Gasse and van Campo, 2001; Razafimahefa et al., 539 2012) and Alaotra basins (Mietton et al., 2018), and the MIS 3 interval (36,000–32,000 yr BP) 540 in the Ankaratra Massif (Rakotondrazafy, 1992; Straka, 1996; Razafimahefa et al., 2012).

#### 541

#### 4.4. Basin subsidence rates in Madagascar's Highlands: a comparative analysis

The population of agriculturally important but flood-exposed semi-enclosed basins in the Highlands of Madagascar is much larger ( $n \approx 25$ , e.g. Bied-Charreton et al., 1981) than the examples of the Antananarivo and previously studied Alaotra (Mietton et al., 2018) basins can currently document, but apart from the Antsirabe Basin (Fig. 1; Razafimahefa et al., 2012), few have benefited from coring and stratigraphic analysis. The basins also vary quite 547 substantially in size, although none attain the dimensions of the Alaotra. A few, like the 548 Alaotra and Antananarivo, are entirely in basement, but a large proportion of them were 549 formed by lava dams, particularly around the edges of the Ankaratra and Tsaratanana 550 stratovolcanoes. Neotectonics has often also interfered with the drainage pattern. For example 551 the Mangamila Basin, 70 km NE of Antananarivo (Fig. 1), displays discontinuous vestiges of 552 thick alluvial deposits now perched 40 m above the basin exit point, where the Mananara 553 valley (Figs. 1, 4) continues to down to Anjozorobe (18°31'44.68''S, 47°52'15.70''E). The 554 tectonics-driven drainage capture event detected in that basin could be recent given that a peat 555 sample contained in an alluvial deposit 500 m upstream of the waterfall at the exit point has 556 produced an age of 12.6 ka BP (Table 1). Future research in this and other basins would 557 broaden the scope of these preliminary findings.

558 The Ambohibary Basin (Figs. 1, 11), which belongs to the class of partly volcanic upland 559 basins, was nonetheless investigated here in order to test the extent to which it could enrich 560 the comparative regional analysis of basin subsidence rates and sharpen the diagnostic criteria 561 required for understanding their vulnerability to flooding. Based on the hypothesis that the 562 outlets of the different basins in the Highlands of Madagascar display a range of sensitivities 563 to environmental change, which should thus impart contrasting environmental behaviours and 564 signatures to each individual case, the following three examples were compared: 565 Ambohibary, Alaotra, and Antananarivo.

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567 4.4.1. The Ambohibary Basin

The Ambohibary Basin, which is nationally important for its fruit and vegetable crops but also for rice (Ambohibary means 'rice village'), is drained by the upper Ilempona River (Fig. 1, 4), which slices through the tectonically active Betampona Fault scarp (Fig. 11). Soil erosion and floods in this mostly volcanic catchment are less pronounced than in the Antananarivo and Alaotra: no lavaka, limited in-channel sedimentation, few overbank sedimentary deposits on the plain. The exit point is cut in weathered gneiss (Fig. 11), and thereby more vulnerable to river incision than the Farahantsana–Betampona quartzites. Thus facilitated, incision is more likely to keep up with the intermittent shuttering by fault movements at the exit point.

577 In the absence of sediment cores, tectonic displacement on the fault was estimated from three 578 test pits, in which radiocarbon dating of organic-rich clays could reveal relative basin 579 subsidence. Samples Amb 1 and Amb 3 are from the plain, whereas Amb 2 lies on the edge 580 but 5 m above the modern basin floor (Table 1; Fig. 11). Amb 1 is young (~4 ka BP), whereas 581 Amb 2 and Amb 3 date back to the Last Glacial Maximum, i.e. MIS 2 (~26 ka and ~22 ka BP, respectively). Age-depth ratios for Amb 1 and Amb 3 provide mean sedimentation rates of 582 583 0.1 mm/yr in each case, i.e. three times less than at Antananarivo over a similar time span, 584 and one order of magnitude less than the Alaotra Basin. The relative topographic positions of 585 the two samples of similar age, Amb 2 and Amb 3, but with Amb 2 raised by 8 m, suggest a 586 tectonic offset between the two land units (Fig. 11). This interpretation is corroborated by an 587 absence of other vestigial organic layers above the basin floor, thereby ruling out the 588 hypothesis of a palaeolake berm at site Amb 2; and likewise by the regional prominence of 589 the Betampona Fault (Fig. 1). This N–S fault continues south to the Antsirabe Basin, where its 590 recent activity is well documented (Razafimahefa et al., 2012). North of the basin, some > 40591 ka lignite beds (Table 1) are also topographically raised (Fig. 11), there also suggesting that 592 postdepositional uplift of organic deposits began in the more distant past.

593 From a palaeoenvironmental perspective, parts of the basin were intermittently 594 transformed into a wetland during the Lateglacial and Holocene (Lageat and Peyrot, 1974; 595 Samonds et al., 2019), with peat levels, and abundant subfossil remains of aquatic mammals 596 and reptiles. The flagship hippopotamus remains (site Amb 0, Fig. 11), retrieved from a depth

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597 of 80 cm and with an age of 14.6 ka BP (17.56 cal BCE; Samonds et al., 2019), also testify to 598 low sedimentation rates (0.05 mm/yr) and, by inference, low subsidence rates. These low 599 rates could accredit at least intermittent conditions suitable for generating a palaeolake, but 600 the floor of the deepest excavation at the centre of the basin (-8 m, Amb 3) did not reveal any 601 lacustrine sediments comparable to those described in detail by Lenoble (1949) in the 602 Antanifotsy Basin, farther to the east (Fig. 11).

603

#### 604 4.4.2. The Alaotra Basin

605 The Alaotra Basin (Fig. 1) is shut off by a raised bedrock sill above a river knickzone (Fig. 4) which, on a 10-10<sup>5</sup>-year time scale at least, seems immune to knickpoint retreat 606 607 (Mietton et al., 2018). Given this boundary condition (Garcia-Castellanos, 2006), the fairly 608 stable long-term surface-area of the lake is ensured by a precarious relative balance between 609 sediment-influx-controlled accommodation space (Mietton et al., 2018) and the tectonic 610 subsidence regime. Sediment delivery is substantial, sedimentation rates are high (0.5-2)611 mm/yr; Table 1), but these are offset by equally rapid tectonic subsidence rates. Taking 612 advantage of this geodynamic balance, despite some vulnerability to flooding the Alaotra 613 plain has grown to become the most productive rice-growing area of Madagascar. The mean 614 monthly level of the lake varies normally by 2 m between a maximum in March and a 615 minimum in November (Ferry et al., 2009). Levels rise further during tropical cyclones, and 616 rice fields that have been expanded lakeward by farmers to the detriment of natural wetland 617 habitats get flooded. The natural vegetation, some of it woody (amid the 'zetra' papyrus 618 swamps), benefits uniquely from these episodically more extreme floods and is host to a rare 619 endemic species of lemur (Hapalemur alaotrensis). An under-reported consequence of rapid 620 subsidence in the Alaotra Basin is a chronic destabilisation of the irrigation infrastructure, 621 which has been precisely engineered and monitored by topometric methods. A subsidence of 1 mm/yr has been seen to offset drainage ditches requiring centimeter-level-precision operational gradients in just 10 years (Mietton et al., 2018). Where subsidence is particularly intense, such as in the parallel Sasomangana and Ilakana valleys (Figs. 1, 12) where the rivers undergo frequent and major avulsions (e.g. documented between 1963 and 1983 by Bourgeon, 1984), new water-filled depressions develop and prove impossible to drain off. Such adverse hydraulic gradients make canal construction and ditching uneconomical in those areas.

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#### 630 4.4.3. The Antananarivo Basin

631 The Antananarivo Basin is shut off by the hardest rocks in the regional rock strength scale 632 (quartzite), with a presumption of either uptilting (i.e. relatively slower subsidence: 0.2–0.3 633 mm/yr) (Table 1) of this western edge of the basin floor along an E–W-striking pivot fault, or 634 by dint of an uptilted or upthrown block along the N-S Imerintsiatosika-Antambola seismic 635 line close to the basin outlet (Fig. 10). Sediment aggradation in the last ~40,000 years in the 636 Antananarivo Basin was also a function of river sediment loads. This variable can be 637 estimated from a limited data base summarised in Table 2. Turbidity measurements 638 obtained from the Mahitsy road bridge (near Farahantsana) at shallow water depths suggest 639 that suspended load in the Ikopa River is moderate: 20 mg/L (for a discharge of 30 m<sup>3</sup>/s) to 60 640 mg/L (128 m<sup>3</sup>/s) (EDF, 1950). According to Hervieu (1968), average turbidity varies between 100 mg/L (579 m<sup>3</sup>/s) and 930 mg/L (735 m<sup>3</sup>/s), but these values were obtained from the 641 642 Antsatrana gauging station (Fig. 1), i.e. at quite some distance donwstream of the 643 Antananarivo Basin. At Antsatrana, average textural composition of the Ikopa suspended load 644 was 48% clay, 32% silt, 19% fine sand, 1% coarse sand. Based on the mean annual discharge 645 of the Ikopa and a tentative discharge / turbidity rating curve, Roche and Aldegheri (1964) 646 calculated that the mean specific catchment erosion rate was 360 t/km<sup>2</sup>/yr.

647 Despite the sand mining in the active channels of the Ikopa and Sisaony (BDPA et al., 648 1994), raising of channel beds caused by in-channel sedimentation has clearly been an 649 enduring trend for as long as observations have been available (Douessin, 1974; 650 Ramboarison, 1990). This, however, is the effect of the very low channel gradients on the 651 plain rather than of exacerbated soil erosion in the catchments. Soil loss in the headwaters of 652 the Ikopa is more limited than in other areas of the Highlands because lavaka — which are the 653 only processes capable of delivering coarse bedload to river channels because they expose the 654 lithomarge — are relatively scarce (average catchment-wide density of 0.08 lavaka/km<sup>2</sup>, 655 compared for example to the SE area of Lake Alaotra with densities of up to 8/km<sup>2</sup>, i.e. 100 656 times greater) (Mietton et al., 2006). Among the incoming tributaries, the highest lavaka 657 densities are observed in the Sisaony and Andromba subcatchments (locally up to 0.63 658 lavaka/km<sup>2</sup>; see Table 3 and Fig. 10).

659 Such a relatively low sediment influx combined with the hydrological bottleneck at the 660 basin outlet could in theory promote the existence of at least a shallow lake in the 661 Antananarivo Basin. The absence of a lake is probably explained by the low magnitude of 662 basin subsidence, thus producing a relatively overfilled basin despite the moderate 663 sedimentation rates. Lakes will form typically in underfilled basins (Garcia-Castellanos, 664 2006), i.e. under conditions closer to what has been evidenced in the Alaotra Basin (Mietton 665 et al., 2018). In Table 2, modelling of basin accommodation space (for sediment, for water) 666 shows that in the Alaotra graben, values of sediment aggradation and tectonic subsidence are 667 relatively balanced (calculated and measured specific denudation rates, respectively abridged 668 as SDRc and SDRm in Table 2, share the same order of magnitude), thus having allowed a 669 very shallow lake (and fen) to persist for ~30,000 years (see also Mietton et al., 2018). Specific denudation in the Sasomangana (2000 t/km<sup>2</sup>/yr) and Sahamaloto (2400 t/km<sup>2</sup>/yr) 670 catchments (Fig. 1), respectively to the SE and west of Lake Alaotra (Ferry, 1985; Mietton et 671

al., 2006), are thus compensated by the comparatively high subsidence rates that appear endemic to this rift zone of NE Madagascar. The dynamic equilibrium between tectonics and sedimentation at Lake Alaotra is still threatened by mismanagement of the wetland (Mietton et al., 2018), but the more intense tectonic regime than in the Antananarivo setting is what has so far preserved the lake from extinction. In the Alaotra graben, the abundant volumes of sediment delivered are thus absorbed by the accommodation space.

678 In contrast, despite much lower volumes of sediment delivery, the low tectonic subsidence 679 rate in the Antananarivo Basin is not sufficient to accommodate the sediment influx. This 680 imbalance is expressed in Table 2 by the values of SDRm being much higher than SDRc, 681 indicating that the Antananarivo Basin is vulnerable to overfilling and that there is no 682 potential for a lake. As a result, among the currently documented intermontane basins of 683 Madagascar's Highlands, the wetland plain around the capital city is the most vulnerable to 684 frequent flooding, a situation exacerbated by the previously mentioned risk (see Section 2.1) 685 of levee breaching along the trunk river and its tributaries.

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### **5.** Future-proofing the Antananarivo Basin: a discussion on policy perspectives

#### 688 5.1 A metastable wetland environment since the late Quaternary

689 The lack of potential for a lake today in the Antananarivo Basin, as inferred from the 690 modelling presented in Table 2, is consistent with the evidence provided by the results that 691 there is also no palaeoenvironmental record of a former lake in the Antananarivo Basin. The 692 lake hypothesis was mooted only by Noizet (1968b) on the basis of right-bank terrace 693 deposits between Bevomanga and Soavinimerina (Fig. 8) — in this case imagined to have 694 formed in the context of a raised lacustrine base level and therefore older than the sediment 695 fill displayed in Figure 7. The occurrence of freshwater sponge remains is likewise 696 inconclusive given that sponges are reported from lotic as well as lentic environments and

thus cannot be used as unequivocal evidence for lacustrine conditions. The absence of lacustrine deposits other than perhaps locally and intermittently is a common feature shared by the Antananarivo, Alaotra (Mietton et al., 2018) and Antsirabe intermontane basins (Razafimahefa et al. 2012). As likewise in the Ambohibary Basin (Fig. 1; Lenoble, 1949), alluvial clay and sand in the Antananarivo Basin are the dominant materials. In the Antsirabe Basin, the only distinctive difference is that the fluvio-palustrine sequence is covered by a thick layer of tephra.

704 In the Antananarivo plains, the sediment has thus buried an irregular topography etched into 705 the weathered crystalline basement, with hills still rising out of the sedimentary sequence and 706 concentrating the rural settlements (as a result, the rice fields are quite a good proxy for 707 mapping the sediment cover by default, at least where urbanisation has not yet encroached; 708 see Fig. 5a for the situation in 2017, and Graphical abstract for 2020). Evidence that the 709 Antananarivo Basin underwent neotectonic activation, perhaps for the first time in the 710 region's geological history, during the Quaternary is indirectly provided by the older peat 711 deposits resting directly on weathered rock profiles such as at sites F3 and F4 (Fig. 7), with 712 fluvio-palustrine sediment aggradation through widespread overbank deposition over the 713 irregular topography of the Antananarivo etch basin beginning around 37,000-36,000 yr BP 714 and continuing uninterrupted until today. Irrespective of its earlier geomorphological history, 715 which currently evades documentation, from at least ~40 ka onwards the Antananarivo Basin 716 thus became a tectonic basin where sediment fill is controlled by a delicate balance between 717 tectonic subsidence rate and hydrological outflow at the outlet. The nature of sedimentation 718 dynamics was apparently a direct function of the rate of tectonic forcing, with peat deposition 719 apparently coinciding with accelerations in tectonic subsidence (Table 1).

720

# 721 5.2. Flood-mitigating options for Antananarivo's upland plain

722 The flood hazard in the Antananarivo and Alaotra basins poses major problems for 723 agriculture and human settlement, but it is clearly around the capital city, where a population 724 of 700,000 already lives in the flood zone, that environmental issues are most acute. 725 Catastrophic flooding events, often unreported in the global media but occurring with 726 increasing frequency (March 1982, Feb. 1994, Jan. 2007, Feb. 2015, Feb. 2019, Jan. 2020), 727 are the result of low-grade housing, mostly populated by the more recently arrived among the 728 urban poor, sprawling across the lowest-lying areas of the city (Fig. 5b). Under the pressures 729 of urbanisation, by 2004 agricultural land use had fallen to 43% of the plain area. By 2010, 730 irrigated crops had fallen to 2530 ha (6800 tenancies), the remainder lost to urban conversions 731 (M.S. Andrianjafimahatratra, President of the Water Users' Federation, pers. comm. 2019). 732 Raising the agricultural plain for urban construction through landfill operations was 733 previously widespread north of the Ikopa, but it has now expanded to the left-bank areas, 734 mainly upstream of Tanjombato (Fig. 5a). The consequences of urbanisation on wetlands are 735 well known, and involve (i) sanitation hazards relating to stagnant water, to its pollution, and 736 to unsuitable or inexistent sewage infrastructure — in both cases exacerbated in Madagascar 737 by the coincidence between the rainiest and warmest seasons; and (ii) safety hazards 738 (structural failure of buildings, electrocution, impeded traffic). Given these threats and 739 constraints, any land-use and urban planning project for the capital city is narrowly linked to 740 hydrological management of the Ikopa's channel and floodplain, particularly downstream of 741 the city centre. The floods of Feb.-March 2015, exacerbated by cyclone Chezda, entailed the 742 displacement of 30,000 residents for several months, caused several dozen fatalities (Defrise, 743 2020), and came as a shock to political authorities clearly oblivious to the 1959 and earlier 744 catastrophic events.

745

#### 746 5.2.1. The playbook of hydraulic engineering solutions

The behaviour of each tectonic basin's hydrological outlet is key to the delicate balance under which the intermontane wetland can avoid extinction, and under which it can sustain its function as provider of (i) supporting ecosystem services to agriculture in a mountainous nation, and of (ii) provisioning ecosystem services to its sprawling capital city in the form of flood-hazard mitigation.

752 Intuitive awareness of the importance of this boundary condition has motivated hydraulic 753 and agricultural land management authorities to seek engineering solutions such as bedrock 754 channel deepening at Farahantsana during the first half of the 20th century, described in 755 Section 2.1. Similar designs were likewise planned at the outlet of the Ambohibary Basin on 756 the upper Ilempona River during the 1940s; and at Lake Alaotra, where, in a classic situation 757 of destocking post-wartime surpluses of explosives, Longuefosse (1923) advocated an expensive project involving 200,000 m<sup>3</sup> of bedrock blasting of the Alaotra lake outlet 758 759 (Maningory knickpoint, Fig. 4). The objective was to drain away the lake water, reclaim vast 760 expanses of wetland as a result, and regulate outflow with a 14-m-high, 17,000 m<sup>3</sup> concrete 761 dam equipped with a hydroelectric power station. By pumping water to convey it along new 762 canals to parts of the Alaotra Basin until then impossible to develop just by gravitational 763 irrigation (Ciolina, 1946), rice cultivation would thus expand and prosper.

764 All of these engineering initiatives were soon abandoned because of their prohibitive costs, 765 and because of their ensuing negative ecological and engineering impacts: headward erosion 766 of the exit gorge into the basin in the first two cases; lowering of groundwater tables as a 767 result of channel incision, causing a drop in water levels in wells on the floodplain and 768 impacting the parameters of rice cultivation; and permanent flooding of arable lands on the 769 lakeshore because of a widespread backwater effect at Lake Alaotra. The Alaotra fenland, 770 home to some endemic wildlife species, is now protected by the Ramsar Convention (since 771 2003).

772 Blueprints for a number of new projects aimed at regulating the Ikopa River and managing 773 land use on the floodplain have been drafted by environmental engineering consultancies 774 (Someah, 2010; Someah-Artelia Madagascar, 2012; BRL Ingéniérie, 2017a, b). One 775 masterplan advocates the construction of a left-bank canal parallel to the Ikopa; a variant 776 envisages that this artificial overflow structure should be activated as a derivation canal with a 777 succession of spillways in operation only during floods. This would maintain the current 778 functions of the river's natural channel, including during the low flow season. Reinforced 779 weir structures designed to arrest headward channel erosion have been planned for the Ikopa 780 and the Mamba rivers. However, like their colonial ancestors, because of the expropriations, 781 land acquisitions and the magnitude of earth-moving operations involved, all of these projects 782 are exceedingly expensive. Additionally, flood hazard simulations have been restricted to 783 return periods (and peak flood discharges) of 5 (210 m<sup>3</sup>/s) to 10 years (420 m<sup>3</sup>/s). This 784 amounts to lowering the Ikopa water line by 2 m and 1.3 m (for the 5- and 10-year return 785 periods), respectively. Given the strong likelihood of much higher discharges in the future 786 (e.g. 100-year flood), these hard-defence solutions would imply rapidly increasing costs for 787 every additional increment of engineered waterline lowering (e.g. for accommodating the 20-, 788 50-year floods and above). Accordingly, and given that the lower-frequency, higher-789 magnitude floods are the most damaging, the cheaper, yet still expensive, options are already 790 almost pointless. Undoubtedly, a magnitude of flood waterline lowering by just 2 m on the 791 Ikopa would be beneficial as it would enhance gravity-driven drainage gradients for both 792 agricultural and urban (sewage etc.) infrastructure. Nonetheless, the impact from larger floods 793 (likely and perhaps increasingly frequent in the future given the accelerating trend since 2015) 794 is currently undocumented. Its consequences for water table levels across the plain, for 795 example, is unknown because the interaction between the river and the floodplain aquifers is 796 still poorly understood (note that the new 28 MW hydroelectric power station at Farahantsana,

soon to be completed at the time of writing, is a penstock-fed, run-of-the-river facility without
a dam across the Ikopa channel, and should thus have no influence, such as backwater effects,
on matters addressed in this study).

Continuing unregulated urban growth of Antananarivo's metropolitan area strongly suggests that ribbon development along future road arteries will also alter rainfall–runoff response times, worsen stormwater containment and first-foul flushes, and lengthen floodwater recession times back to the river and water table. Available funds should thus prioritise the protection of the agricultural plain north and east of the Ikopa channel and preserve water-spreading buffer zones already present in those areas. Enforcement of this policy should be uncompromising in the face of pressure from property developers.

807

### 808 5.2.2. Integrated watershed management options

809 Solutions to urban flooding and crop destruction in the upland plains of Madagascar may 810 require proximal engineering fixes, but also depend on an understanding of the total 811 environment at the entire catchment scale. This includes land-use patterns and agricultural 812 practices, which impact on water and sediment delivery further downstream. Here we 813 examine land cover solutions as a means of curbing peak discharges and in-channel alluvial 814 aggradation, which both threaten the levees, the plains, and thus the sustainability of the 815 nation's agriculture and the safety of city dwellers. These issues are most serious in the two 816 upland ricebowls of Madagascar: Antananarivo and the Alaotra, because in other agricultural 817 basins such as Ambohibary and Antsirabe, hillslopes in the young and moderately weathered 818 volcanic rock are not gullied by lavaka and release more limited masses of debris. 819 Furthermore, those basins are situated at higher altitudes, and focus on crops more resistant to 820 winter temperatures (commonly falling to below 4 °C) than rice. Resource optimisation is thus less narrowly dependent on the subtle strategies required in paddy cultivation forcontrolling water budgets at nested time scales, including at short notice.

823 Natural soil erosion is endemic in the Ferralsols of the Alaotra catchment area, and 824 erosion-control and slope restoration measures such as gabions and brushwood check dams 825 have been deployed in vain for many years. In the Ikopa catchment, which is endowed with 826 far fewer lavaka and where conditions are thus more favourable to ecological engineering, the 827 smaller number of active lavaka (most in the Andromba and Sisaony subcatchments, Fig. 10) 828 has been fairly successfully curbed by afforestation of the erosional scars with Eucalyptus 829 robusta, Grevillea banksia, Cassia spectabilis, and seeding of herbaceous plants such as 830 Crotalaria, particularly Crotalaria juncea (sunn hemp): a fast-growing, nitrogen-fixing, 831 nematode- and drought-resistant legume forming dense cover as well as a source of green 832 manure, fodder, and perhaps biofuel in the near future. Whereas the Alaotra plain is thus 833 potentially more vulnerable to sediment aggradation than its Antananarivo counterpart 834 because lavaka densities are up to 100 times greater, the Antananarivo plain nonetheless lacks 835 the Alaotra's vast foreshore area, and thus the capacity to contain floodwater and sediment 836 upstream of Antananarivo's urban and irrigation infrastructure. This contrast between the two 837 settings highlights a situation where the potential for disconnectivities in the sediment cascade 838 (Fryirs, 2013) is much greater in the Alaotra than in the Antananarivo basins (Fig. 12). The 839 capital city is thus uniquely exposed (Fig. 5).

Land use characteristics in the Ikopa and Alaotra catchments are relatively similar, but disconnectivities in the sediment cascade matter at all scales within the catchment area, and in the case of the Antananarivo Basin, upscaling this concern for sedimentary disconnectivities to the entire catchment is where the key to future policy action lies. Population densities are low and intensive agriculture is restricted to valley bottoms (rice), which are wide in the Alaotra area and narrower in the Ikopa catchment, and to terraced interfluve footslopes on
846 Ferralsols and colluvium. The networks of flat-floored valleys (dambos) constitute the first 847 sediment trap, or disconnectivity node, in the catchment-scale sediment cascade. As loci of 848 sediment aggradation in quantities that remain manageable on an annual basis, unmechanized 849 farming communities have evolved a number of strategies for retaining the sediment and 850 coopting it to agricultural benefit. The antler-shaped networks of valley floors (examples 851 visible in Figure 5a), however, only represent  $\sim 10\%$  of the land area. The remaining 90% of 852 the Ikopa catchment (and no less than ~50% nationwide; Randrianjafy, 2006) consist of low-853 gradient interfluves with convex hillslopes underlain by 10–30-m-thick weathering profiles 854 and named 'tanety'. The 'tanety' are potentially far more vulnerable to runoff-related erosion 855 and gullying, and deserve greater attention.

856 The elevation band of steep lower hillslope convexities is where village settlements occur. 857 It is usually well tended to by terracing and various forms of polyculture, and well managed 858 by indigenous soil conservation methods involving terracing and grassy strips on arable field 859 boundaries (Roose, 1982). The land use higher up on hillsides and hilltops today is commonly 860 plantation forestry (eucalyptus, pine) in replacement of grassland savanna, mainly for urban 861 charcoal manufacturing and timber consumption. This woodland cover increases 862 evapotranspiration and infiltration but, whether under state-controlled or corporate land use, it 863 is also resented by cattle herders, who for centuries have been traditionally co-opting hilltops 864 for grazing under complex customary rules also involving fire management practices. The 865 cross-country transhumance routes are well known (Raison, 1969), and herds of many tens of 866 thousands of zebu gravitate annually to the Antananarivo area, where some of the country's 867 largest cattle markets and industrial abattoirs are located. The competition between state or 868 private forestry and traditional pastoralism is enhanced, in areas closer to the cities, by recent 869 land grabs by urban elites or foreign investors with alternative land development objectives. 870 Whether under a 'kijàna' regime (cattle pasture and rest area managed locally for the village

herds), or the 'tanin'aômby' regime, where large herds of transhumant zebu cattle are left to roam freely (Rasamoelina, 2017), the grassland surfaces under customary common-property management are shrinking, thus increasing risk of overstocking and overgrazing. The potential for enhancing surface runoff and soil erosion on the 'tanety' as a result of inadequate rangeland management is therefore substantial (Fig. 12).

876 A watershed and irrigated-zone management policy was formulated for Madagascar at 877 the turn of the 21st century. As in many developing countries, in a political context where 878 environmental policies are based on close relationships between governments, global 879 environmental NGOs, and development banks, the governance of natural resources in 880 Madagascar draws farmers and other land managers into partnerships with global publicprivate networks (Duffy, 2006). As a result, land management solutions deployed in 881 882 Madagascar are imbued with often quite specific corporate doctrines, most of them crafted by 883 overseas development agencies and consultancies. This affects the politics of environmental 884 aid to Madagascar, and likewise the rhetoric in which it is embedded (Corson, 2016).

885 Most funding bodies in Madagascar's agricultural sector, which usually broaden their 886 scope to rural development and watershed management (Agence Française de Développment, 887 Japan International Cooperation Agency, World Bank, Kreditanstalt, USAid, Food and 888 Agricultural Organization of the UN etc.), have thus become major partners of the new 889 PNBV/PI (Programme National Bassins Versants Périmètres Irrigués) launched by the central 890 government in 2006. This policy is based in theory on a catchment-wide approach to land-use 891 and land-cover management, with consideration for up- as well as downstream environments, 892 and on a diverse range of action plans and self-governing organisations partly modeled on the 893 chambers of agriculture prevalent in some European countries. These are aimed at 894 strengthening farmers' governance structures (federations, social networks, training 895 workshops...) and placing agricultural producers centre-stage. The main three strategies of 896 'agro-ecological' engineering in that context each apply to different facets of the landscape 897 system. They are usually sponsored by foreign NGOs and funding agencies (Serpantié et al., 898 2013) and comprise of: (i) payment for watershed-based environmental services (e.g. Porras 899 et al., 2008) — more often, however, in steep forest areas than in wetlands or agricultural 900 watersheds (Wendland et al., 2010; Villa et al., 2015; Onofri et al., 2017; Van Soesbergen and 901 Mulligan, 2018); (ii) rainfed 'conservation agriculture' on the hilltops, using a package of 902 techniques usually imported from Brazil (Knowler and Bradshaw, 2007; Serpantié, 2009); 903 and (iii) a system of rice intensification (SRI) aimed at dambos and intermontane basins, 904 developed by an NGO from Madagascar with attempts to export it to the rest of world (e.g. 905 Serpantié, 2017).

906 A difficulty with applied research in agronomy is that sources of information about its 907 results are rarely independent from the various organisations and agencies self-promoting 908 their own experiments and innovations (Serpantié et al., 2013). Here we merely emphasize 909 that despite the rich forms of development-motivated advocacy underpinning the PNBV/PI, 910 and despite the fact that the grassland-covered 'tanety' constitute up to 90% of the land 911 surface in any given part of the fluvial catchments (Fig. 12), pastoralists, who are socially 912 marginalised in Malagasy society, are often invisible to the development agencies and 913 virtually never integrated into those policies. The aid policies target sedentary village 914 communities and focus on elaborating legislative frameworks aimed at enshrining land-tenure 915 security for producers and farmers. The pastoralists nonetheless impact on the territory 916 through their cyclical combination of hilltop grazing and forage burning. The grassland 917 communities are dominated by Aristida, Loudetia, Ctenium, Andropogon, Panicum, Imperata, 918 all of which have Malagasy vernacular names (Sarremejean, 1961; Bourgeat et al., 1995), and 919 are unpalatable to zebu unless burned periodically to promote new flushes (Granier et al.,

920 1976). They are, however, too tussocky and shallow-rooted to avoid soil erosion under921 conditions of overstocking (Fig. 12).

922 Two categories of pastoralists are concerned by the prospect of sustainable grassland 923 management: (i) the village communities themselves, who practice mixed farming and thus 924 usually have a small village herd of mainly male zebu (used as draught cattle), which is kept 925 on the interfluves away from dambo crops during the rice-growing season; and (ii) 926 transhumant pastoralists, who travel long distances, e.g. from the highlands west of Lake 927 Alaotra across to the east coast (Toamasina), and from the savannas and pseudo-steppes of the 928 western scarplands (Fig. 1) eastward to the Ikopa catchment and Antananarivo's abattoirs. 929 The nomadic pastoralists are not present all year but drive very large herds of bovine cattle 930 over the rangelands towards the end of the dry season (also the cooler season), keeping to 931 these upland droveways as a means of avoiding arable fields in the dambos and conflict with 932 farmers. The timing of this mass movement occurs for economic reasons, i.e. (i) the need to 933 sell the cattle at a time when other forms of revenue (e.g. crops) are scarce, (ii) the low 934 dividends from continuing to graze cattle on dry pasture, and (iii) the opportunity of selling or 935 slaughtering the cattle before seasonal decline in weight loss. Crucially, however, these late 936 dry-season livestock loads on thin, tussocky pasture also imply that the first rains have a 937 disproportionately large impact on the soils and hydrology of the savanna-covered interfluves, 938 and therefore also have consequences for surface runoff and sediment load among the rivers 939 converging on the Antananarivo Basin. These impacts are exacerbated by fire practices. 940 Burning enhances the beneficial 'green bite' appreciated by the cattle, but is also tacitly 941 appreciated by farmers because (i) the runoff on the 'tanety' routinely conveys fertile ash to 942 the arable dambo soils, and (ii) the rice farmers are also the owners of part of the livestock 943 using the interfluves under the kijana regime. Most rural stakeholders thus have a vested 944 interest in fire and pastoralism, but collectively conspiring, overall, to far-field hydrological945 hazards downstream.

946 At catchment scale, a recommended agro-ecological strategy to save intermontane 947 basin wetlands such as the Alaotra and Antananarivo from excessive sediment aggradation 948 and/or to buffer the catchments against catastrophic flooding would thus be to expand the 949 introduction of new pasture and forage plants — whether grasses or legumes — to the 950 naturally treeless interfluve hillslopes and hilltops. The nitrogen-fixing Stylosanthes 951 (pencilflower; Fabaceae), native to the Americas and the world's most widely used pasture 952 legume (Granier et al., 1972; particularly Stylosanthes guianensis: Cook et al., 2005; Husson 953 et al., 2008); and most of all Brachiaria (Poaeceae), native to central Africa, have been 954 recommended (Granier et al., 1966; Bosser, 1969; Seguy, 2006). Brachiaria brizantha (bread 955 grass, a tufted perennial which stays green even during dry seasons of 3 to 6 months) has deep 956 roots (up to 2 m) and thus stabilises the soil. It is recommended over Brachiaria ruziziensis 957 (Congo grass, which has also been introduced to Madagascar) because of its better natural 958 seed dispersal capacity, wider ecological niche (rainfall, temperature, pH, etc.), and tolerance 959 to low-fertility soils even though it improves with manuring. It can also be used as cut-and-960 carry hay and silage for village cattle (Cook et al., 2005). Used in combination, these multi-961 purpose grasses and creeping legumes can withstand high stocking rates in areas currently 962 identifed as vulnerable to soil erosion (e.g. Fig. 12); are adapted to the nutrient-poor, low pH 963 soils; and combine the benefits of palatability to bovine cattle, of fire and drought resistance 964 (large soil seed bank of the pencilflower, and thus rapid recovery), with good runoff-arrest 965 and soil-retainment abilities (Ferry et al., 2009, 2013). They are also advocated as a substitute 966 to the endemic use of fire (Randrianjafy, 2006), with obvious value for curbing carbon emissions. 967

968 Although introduced to Madagascar in the 1950s, however, Brachiaria has never been at 969 the forefront of development policies. Additionally, fire practices in Madagascar are 970 widespread but culturally and politically complex (Kull and Laris, 2009). As a result, any 971 prospects of pastoralism without fire would probably need to adjust rangeland management 972 practices to the introduction of new forage plants on multiple institutional and behavioural 973 levels. One of the social bottlenecks could be resistance from dambo farmers to see any 974 change to fire practices on the 'tanety' because they also benefit indirectly from the ash-laden 975 runoff. Nevertheless, forging an alliance with pastoralists in ways that enhance their 976 livelihoods and preserve their rangeland resources is recommended here as a way forward, 977 thus establishing a counter-intuitive feedback loop between tectonic regime and rangeland 978 management for the purpose of preserving the sustainability of rice farming — especially in 979 the Alaotra Basin — and mitigating flood hazards in and around the nation's capital city: a 980 potential win-win for town and country, upland and lowland.

981

# 982 5.2.3. Overarching policy perspectives and wider methodological implications

983 While attempting to assess the assets and vulnerabilities of the Ganga-Brahmaputra delta 984 in Bangladesh as a safe operating space, Hossain et al. (2017) showed that operating the life-985 supporting system of the floodplain to increase long-term resilience, and to help to revise 986 environmental policies which were having negative impacts on a regional scale, required 987 managing feedback on several levels, including the slow biophysical variables. In central 988 Madagascar, we have shown that a key slow variable was the tectonic regime; another, 989 equally subtle key was the vulnerability of the Ferralsols to topsoil erosion, exacerbated 990 runoff, and possible lavaka initiation (Fig. 12). That window of vulnerability is opened every 991 year as a consequence of overstocking on interfluves and lighting bush fires at the end of the 992 dry season. This regional study focusing on the rice-growing tectonic basins of Madagascar thus demonstrates how the concepts of slow and fast variables, shocks (e.g. Walker et al.,
2012), tipping points, limits to adaptation, and boundaries for sustainable development may
be defined in real-world socio-ecological systems.

996 Like everywhere else in the world, deforestation, land degradation and urbanisation in 997 watersheds increase the speed at which water runs off the land and into rivers, and climate 998 change may be increasing the variability, intensity and frequency of cyclones and other severe 999 rainstorms. The construction of hard defences such as artificial levees and embankments, 1000 however, creates a false sense of security and encourages urban developers and speculators to 1001 open-up the floodplain for settlement. This makes future floods more damaging than if the 1002 plain had been left undeveloped. Furthermore, expensive structural controls such as dams and 1003 levees can worsen the severity of extreme floods because the river level becomes raised above 1004 the height of the surrounding plain, with potential for disastrous flash floods when the levees 1005 break. A recurring tendency among human societies is to underprepare for disasters because 1006 of environmental myopia, historical amnesia, administrative inertia, technological optimism, 1007 herding bias (a tendency to base choices only on the observed actions of others), and/or - as 1008 emphasised in this study — simplification bias: i.e. a tendency to selectively attend to only a 1009 subset of the relevant factors requiring to be considered when making choices involving risk 1010 (Meyer and Kunreuther, 2017). Awareness of these biases, and cultivating the memory of 1011 such historically documented past disasters along the Ikopa River, is essential for planning the 1012 future of the Madagascar's upland economy. The promotion of watershed-based 1013 environmental services in Madagascar would gain from not just focusing, for example, on the 1014 prevention of siltation of hydroelectric dam infrastructures in the forest belt of the Highlands 1015 (Villa et al., 2015), but also from extending as much as possible the sustainability of the 1016 intermontane grainbaskets of the country, which are located in a savanna / pseudo-steppe 1017 environment. This involves not just intensifying the agriculture through agronomic and

hydraulic engineering on the plains themselves, which has long been the major focus of research and funding efforts, but also an integrated consideration for subsistence agriculture and pastoralism in the upper watersheds, concern for river knickpoint dynamics driven by active tectonics, and a strict and uncompromising control of land use and urban planning around the sprawling capital city. All are intimately linked through sediment budgets in the catchment-wide sediment cascade.

1024

# 1025 6. Conclusion

1026 The water and sediment budgets of the intermontane basins in Madagascar's Highlands are 1027 strongly dependent on the metastable equilibrium of the land-use mosaics in the catchments. 1028 With the added basin-outlet control by tectonics, basin-floor subsidence rates, river channel 1029 dynamics at the basin exit points, and the urban sprawl of the capital city across its floodplain, 1030 the Antananarivo Basin is increasingly exposed to damaging flood risks as a result of ill-1031 conceived engineering fixes and unregulated urbanisation. Having been functioning as a 1032 dynamic natural wetland since at least the late Pleistocene, this environment is unlikely to 1033 yield easily to continuing reclamation efforts beyond certain limits. The fact that rural and urban development schemes in Madagascar both persistently ignore the socially most 1034 1035 vulnerable, i.e. respectively pastoralists and the urban poor, confirms that there is a strong 1036 political component to the environmental issues at stake. As also shown here in the Highlands 1037 of Madagascar, embracing the total environment not only means understanding how the 1038 different 'spheres' (atmo-, hydro-, litho-, bio-, anthropo-) intersect and interact through 1039 cumulative causation, offsets, feedbacks or nonlinearities, but also how systems scale up or 1040 down according to nested or cascading architectures. It also benefits from integrating social 1041 and biophysical records at regional scales through time by taking a long view of the past 1042 through historical records (e.g. Dearing et al., 2015; Shanmugasundaram et al., 2018) and by

1043 dating sedimentary archives, thereby providing policy recommendations that are calibrated 1044 not just on treating the symptoms and — often ineffectually — controlling the fast variables 1045 (e.g. lavaka scarring, episodic levee breaching), but also calibrated on an understanding of the underpinning slow variables — i.e. those that no one can control (tectonic regime, cyclones, 1046 1047 intrinsically low soil fertility and vulnerability to runoff erosion), but where an awareness of 1048 their existence adjusts the bandwidth of what it possible or relevant, and sets the dial of policy 1049 framing efforts to a position where long-term sustainable solutions are realistic, ecological, 1050 cost-effective, and socially just.

1051

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- 1431

### 1432 Figure legends

- 1434 Fig. 1. Oblique view of central Madagascar's relief. The intermontane basins documented in
- 1435 this study (white lettering), and rivers, lakes, and localities mentioned in the text, are named.

Large white box locates Figure 3, small white box locates Figure 11 (Ambohibary Basin).
Digital topography based on Shuttle Radar Topography Mission (SRTM) v.3. Reference
coordinate system: WGS 1984.

1439

1440 Fig. 2. Flow diagram summarising the research methodology.

1441

Fig. 3. Ikopa drainage basin upstream of the Farahantsana bedrock knickzone. The Ankaratra
massif, in the SW corner, is a large, late Cenozoic to Quaternary volcanic edifice resting on
the Precambrian basement, and one of the highest summits in Madagascar. Blue, red, and
yellow boxes show outlines of Figures 5a, 6, and 8, respectively. Digital topography based on
Shuttle Radar Topography Mission (SRTM) v.3. Reference coordinate system: WGS 1984.

Fig. 4. Knickpoints and longitudinal channel profiles of five major rivers of Madagascar'sHighlands (see locations in Fig. 1).

1450

1451 Fig. 5. Antananarivo, Madagascar's capital city in its environmental setting.

1452 A. Antananarivo city limits and surrounding land use in 2017. Land classification based on 1453 Pléiades, Sentinel-2 and Landsat-8 imagery after Dupuy et al. (2020). In the wider Ikopa 1454 catchment north, east and south of the city, note the large footprint of the savanna biome 1455 (green on 'tanety', i.e. interfluve summits) compared to the dambo network (yellow). Even in 1456 this urbanized and highly agricultural map area in the immediate surroundings of the capital 1457 city, the savanna covers 39% of the land surface. The Different hues of green take account of 1458 proportions of tree presence, but overall tree cover is minimal in the natural grassland 1459 savannas of the Highlands despite a push for afforestation by development agencies (see Fig. 1460 12). In the remaining 75% of the Ikopa drainage basin, grassland covers closer to 90% of the

1461	land surface.	Abbreviations:	AI-Avenue de	e l'Indé	pendance;	A-Andrahara;	Amb-
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1462 Ambohitrimanjaka; T-Tanjombato.

1463 **B.** Oblique view of a suburb of Antananarivo (location in panel A), where housing between

1464 2003 and 2017 has rapidly been crowding out rice fields and natural wetlands. CNES/Airbus

1465 and Landsat/Copernicus imagery, oblique views generated in Google Earth.

1466

1467 Fig. 6. Geology of the Antananarivo Basin (after Delubac et al., 1963), and location of

1468 trenches and boreholes used for documenting the basin's late Quaternary chronostratigraphy.

1469

1470 Fig. 7. Stratigraphy of the Antananarivo Basin sediment fill based on available civil

1471 engineering excavations and the main pollen assemblages (%) anlysed from the four dated

1472 samples in section F5. See text for data sources.

1473

1474 Fig. 8. Geological setting of the Bevomanga bedrock channel reach (after Noizet, 1968a, b,1475 modified and adapted).

1476

Fig. 9. Cross-section through the Antananarivo Basin sediment fill along the right bank of theIkopa River. Profiles based on the data provided in Figures 7 and 8.

1479

1480 Fig. 10. Distribution of  $M_L > 4$  earthquakes recorded between 1999 and 2019, and of the

1481 highest densities of lavaka in the Ikopa catchment. Mean lavaka densities mapped and

1482 computed from raw data from BDPA et al. (1994). Quadrat 1: 0.63 lavaka/km<sup>2</sup>; Q2: 0.42

1483 lavaka/km<sup>2</sup>; Q3: 0.38 lavaka/km<sup>2</sup>; Q4: 0.32 lavaka/km<sup>2</sup>. Anywhere else in the Ikopa

1484 catchment, lavaka densities are much lower by Madagascar standards (see Table 3).

Fig. 11. The Ambohibary Basin and its outlet down the Ilempona River gorge. See location in
Figure 1. CNES/Airbus imagery, oblique generated produced in Google Earth. Vertical
exaggeration of relief x3, aimed at accentuating the key topographic features.

1489

1490 Fig. 12. The rice-growing Sasomangana valley, a rapidly subsiding tectonic sub-basin of the 1491 Alaotra graben, and the open savanna grasslands typical of Madagascar's highland 1492 landscapes. See location in Fig. 1. This landscape view summarises the diversity of 1493 disconnectivities in water and sediment discharge (sensu Fryirs, 2013) at several nested scales 1494 in the landscape, from local gullies to the floodplains typical of the semi-enclosed basins of 1495 Madagascar's Highlands and their catchment areas. 'Lohafasika' means 'source of sand'. DF: 1496 terminal debris fan generated by a locally active 'lavaka' situated outside the picture frame. In 1497 this example its feeder channel does not join the main river but is a threat to one of the major 1498 irrigation channels (here the 'Canal Principal de Rive Gauche', CPRG). P: small lake formed 1499 by ponding behind a 'lavaka' deposit. The 'lavaka' scar on the slope is already revegetated. In 1500 the foreground, note the predominantly convex hillslope profiles of the interfluves, here 1501 belonging to erosion surface 'S3', and in the background the basin's footwall uplands with 1502 range fronts scarred by large and active lavaka (L). In both cases this vast grassland savanna 1503 biome (Aristida sp.) is entirely devoid of agriculture and dedicated to pastoralism, either 1504 involving long-distance zebu cattle herds (S: overstocking scars along a hilltop droveway), or 1505 local village mixed herds (T: terracettes, typical of livestock trampling on hillslopes). Note 1506 how bare, overgrazed patches of soil on the hilltops can operate as contributing areas to gully-1507 generating runoff, and how this feeds into the sediment cascade. The soils are particularly 1508 vulnerable after fires at the end of the dry season. Credits: M. Mietton.

1509





#### Legend

- Ikopa catchment boundary Sub-catchment boundaries
- Drainage
- ∆ Summit
- Pass"
- Farahantsana knickpoint
- Dam
- Reservoir
- Locality




















E





## Legend

- Epicenter (magnitude : 5.1 20/10/2015)
- Highest densities of lavaka Epicenter (4 < magnitude < 5)</li>
  - Drainage pattern
  - Locality





Sample name		Location	seament	Depth below surface	<sup>14</sup> C age	Calibrated age interval (1 $\sigma$ ) <sup>4</sup>	Inferred sedimentation rate
	Latitude (South)	Longitude (East)	Altitude (m)	(m)	(yr BP ± 1σ )	(cal BCE)	(mm/yr)
Antananarivo							
Antanimena							
Ly-17145 Andrahara	18°53'24''	47°31'16''	1254	3	9100 ± 40	8321-8269	0.33
SacA 53838	18°52'57''	47°30'32''	1250	2	9355 ± 50	8656-8561	0.21
SacA 53839	18°52'57''	47°30'32''	1250	3	11,270 ± 50	11,216–11,125	0.27
SacA 53840	18°52'57''	47°30'32''	1250	11.6	37,150 ± 950	40,396–38,834	0.31
Ly-17616 Edge of Mamba valley <sup>1</sup>	18°52'57''	47°30'32''	1250	13.5	36,755 ± 1640	40,571–36,659	0.37
Gif-1479	Approx 18°48'	Approx 47°33'	1453	8-8.5	24,000 ± 100	26,200–25,921	0.34
Alaotra							
Mahakary <sup>2</sup>							
Ly-15506	17°41'18''	48°21'43"	775	1.4	2700 ± 35	853–812	0.52
Ly-15507	17°41'18''	48°21'43"	775	2–2.45	4140 ± 35	2864–2634	0.55
Ly-15508 Ambohitromby²	17°41'18''	48°21'43"	775	6–6.50	9405 ± 40	8733–8635	0.65
Ly-10265 (GrA) Marianina <sup>2</sup>	17°48'00''	48°11'30''	775	0	28,970 ± 140	31,466–31,033	n.a.
Ly-6770 (GrA)	17°51'15''	48°23'30''	770	23	12,130 ± 50	12,156–11,978	1.9
Ly-6769 (GrA)	17°51'15''	48°23'30''	770	28.5–30	15,270 ± 60	16,678–16,513	1.9
Ly-6768 (GrA) Lake diatoms <sup>3</sup>	17°51'15''	48°23'30''	770	30–30.5	14,770 ± 60	16,110–15,929	2
	17°25'00''	48°11'30''	752	0.6–0.7	5190 ± 110	4078–3934	
	17°25'00''	48°11'30''	752	0.9–1.0	6830 ± 110	5814–5632	
Ambohibary							
Amb 1. PozA 118363	19°36'40"	47°11'13"	1655	0.3	4460 ± 40	3327–3029	0.07
Amb 2. PozA 118364	19°36'46"	47°11'16"	1663	3	26,090 ± 240	28,760–28,111	
Amb 3. PozA 118361	19°36'43"	47°10'18"	1652	3.1	22,150 ± 150	24,586–24,198	0.14
Amb L. Ly- 12572	19°34'55"	47°10'38"	1710	Mine shaft	> 38,000	> 40,000	
Mangamila							
Ly-16508	18°34'42''	47°51'40''	1345	1	12,625 ± 45	13,988–12,961	0.08
Notes 1: after Bourgeat et al. 1975: 2: after Mietton et al. 2018: 3: after Burney et al. 2004: 4: calibrated using Reimer et al.							

Table 1. Radiocarbon age constraints on sedimentation rates in Madagascar's upland basins

Notes. 1: after Bourgeat et al., 1975; 2: after Mietton et al., 2018; 3: after Burney et al., 2004; 4: calibrated using Reimer et al. 2013 and Calib 7.10; Sample codes: Ly: Lyon CDRC; GrA: Groningen accelerator; SacA: Saclay accelerator; PozA: Poznan accelerator; Gif: Gif sur Yvette.

Variable of interest	Alaotra catchment	Ikopa catchment
	(and Alaotra Basin	(and Antananarivo
	depocentre)	Basin depocentre)
Accommodation space <sup>1</sup> (m <sup>3</sup> /yr)	3525·10 <sup>3</sup>	48·10 <sup>3</sup>
Equivalent mass <sup>2</sup> (t/yr)		
Bulk density 1.2 t/m <sup>3</sup>	4230·10 <sup>3</sup>	57.6·10 <sup>3</sup>
Bulk density 1.8 t/m <sup>3</sup>	6345·10 <sup>3</sup>	86.4·10 <sup>3</sup>
Equivalent specific		
denudation rate (SDRc),	940 to 1380	1.3 to 2
calculated <sup>3</sup> (t/km <sup>2</sup> /yr)		
Specific denudation rate (SDRm), measured <sup>3</sup>		
(t/km²/yr)	2000 to 2400	360
SDRm/SDRc ratio <sup>4</sup>	~2	~150

Table 2. Subsidence and specific denudation rates in the Alaotra and Ikopa drainage catchments

Notes: 1. Accommodation space is measured as: depocentre area  $(km^2) \times mean$  sedimentation rate (mm/yr), and thus is expressed here as the volume of sediment that the basin accommodates annually without overfilling, i.e., without overtopping the bedrock sill at the basin's exit point. By equivalence, it is the annual accommodation space made minimally available by the tectonic subsidence rate. The Alaotra depocentre is 2350 km<sup>2</sup>, the Antananarivo is 160 km<sup>2</sup>. The sedimentation rates, 1.5 and 0.3 mm/yr, respectively, are the arithmetic means of the data (treated as grouped data) presented in Table 1.

2. Equivalent sediment mass deposited is calculated here on the basis of two optional values for sediment bulk density.

3. Specific denudation rates were measured for two montane watersheds SW and W of the Alaotra by Mietton et al. (2006), and were estimated for the Ikopa River by Roche and Aldegheri (1964). The two contributing montane catchment areas (i.e., depocentres excluded) are of similar sizes; Alaotra: 4600 km<sup>2</sup>; Ikopa: 4300 km<sup>2</sup>. Range of reported denudation values depends on bulk density input. 4. Note that the ratios yield a value close to 1 for the Alaotra, but a high value for Antananarivo, suggesting in the latter case a strong imbalance between sedimentation rate and subsidence rate.

Sub-catchment	Sub-catchment	No. of	Mean density
name	area (km²)	lavaka	(lavaka/km <sup>2</sup> )
Sisaony	838.4	124	0.15
Andromba	1239	103	0.09
Ikopa	1855	93	0.05
Mamba	376	17	0.04
Maniandro	158.2	6	0.03
Total	4466.6	343	0.08

Table 3. Lavaka distribution in the Ikopa catchment upstream of Farahantsana knickpoint

Data source: from Helisoa (1983), maps consulted in BDPA et al. (1994), and spot checks and updates using Google Earth.

